

Attorney's Docket No.: 13691-002005 Client's Ref. No.:470-104US2CON

OFFICIAL COMMUNICATION FACSIMILE:

OFFICIAL FAX NO: (703) 872-9306

Number of pages including this page 42

Applicant: Carlo Brugnara et al.

Art Unit: 1624

Serial No.: 10/043,640

Examiner: Deepak R. Rao

Filed

: January 10, 2002

Title

: Substituted Diphenyl Indanone, Indane and Indole Compounds and Analogues

Thereof Useful for the Treatment of Prevention of Diseases Characterized by

Abnormal Cell Proliferation .

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attached to this facsimile communication cover sheet is Request for Certificate of Correction Pursuant to 37 C.F.R. §1.322 and Certificate of Correction (in duplicate) faxed this 18th day of April, 2005, to the United States Patent and Trademark Office.

Respectfully submitted,

Date: April 18, 2005

Reg. No. 43,045

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070

Fax: (858) 678-5099

10506155.doc

Attorney's Docket No.: 13691-002005 / 470-104US2CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlo Brugnara et al.

Art Unit: 1624

Patent No.: 6,800,658

Examiner: Deepak R. Rao

Issue Date: October 5, 2004 Serial No.: 10/043,640

Filed

: January 10, 2002

Title

: SUBSTITUTED DIPHENYL INDANONE, INDANE AND INDOLE

COMPOUNDS AND ANALOGUES THEREOF USEFUL FOR THE TREATMENT OF PREVENTION OF DISEASES CHARACTERIZED BY

ABNORMAL CELL PROLIFERATION

Attn: Certificate of Corrections Branch

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION **PURSUANT TO 37 C.F.R. § 1.322**

Dear Sir:

Pursuant to 37 C.F.R. § 1.322, the patentee respectfully requests that a Certificate of Correction be issued for the above-referenced patent to correct the following error (deletions are in double brackets or strikethrough; additions are underlined):

IN THE CLAIMS:

Please amend claims 2, 5, 8 and 12 as follows:

The compound of Claim 1, wherein said compound is selected from the group of Compounds 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

Date of Transmission

Signature

<u>Date L. Rieger</u>
Typed or Printed Name of Person Signing Certificate

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Attorney's Docket No.: 13691-002005 / 470-

Applicant : Carlo Brugnara et al.

Patent No.: 6,800,658
Issued: October 5, 2004
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Page : 2 of 13

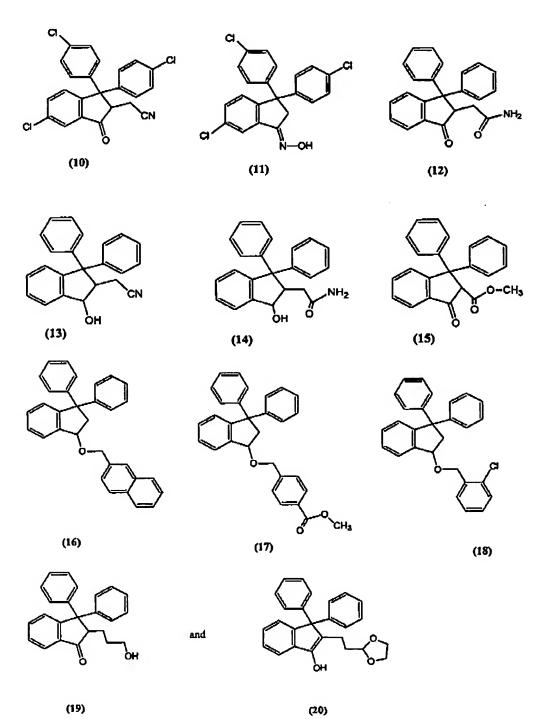
Ю CH2CH=CH2 O-CH2CH=CH2 NC (4) (6) (5) (7) (9) **(B)**

Patent No.: 6,800,658 : October 5, 2004 Issued Serial No.: 10/043,640 : January 10, 2002 Filed

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Attorney's Docket No.: 13691-002005 / 470-

104US2CON



Patent No.: 6,800,658
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5. The pharmaceutical composition of Claim 4, wherein said compound is selected from the group of Compounds 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

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Applicant: Carlo Brugnara et al. Attorney's Docket No.: 13691-002005 / 470-

Patent No.: 6,800,658
Issued: October 5, 2004
Serial No.: 10/043,640
Filed: January 10, 2002

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8. The method of Claim 7, wherein said compound is selected from the group of Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

Applicant: Carlo Brugnara et al. Patent No.: 6,800,658 : October 5, 2004 Issued Serial No.: 10/043,640 : January 10, 2002 Filed

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Applicant: Carlo Brugnara et al. Patent No.: 6,800,658

: October 5, 2004 Issued Serial No.: 10/043,640 : January 10, 2002 Filed

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Applicant: Carlo Brugnara et al. 104US2CON Patent No.: 6,800,658 : October 5, 2004

Serial No.: 10/043,640 : January 10, 2002 Filed

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Issued

(19)

The method of Claim 11, wherein said compound is selected from the 12. group of Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

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(7)

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Patent No.: 6,800,658

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Filed: January 10, 2002

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REMARKS

Duplicates of a Certificate of Correction (Form PTO-1050) incorporating the above change is included with this Request. Because the error is a Patent Office error, a fee under 37 C.F.R. § 1.20(a) is not due. If, however, it is determined that a fee is due, the Office is hereby authorized to charge the fee to Deposit Account No. 06-1050.

This Certificate of Correction seeks to amend a Patent Office error in the claims introduced during the publication process.

Issued claims 2, 5, 8 and 12 (original claims 18, 21, 24 and 28, respectively) were added during prosecution in an amendment mailed on July 7, 2003. The claims presented in the amendment of July 7, 2003, listed the following compounds and provided structural formulae thereof:

Patent No.: 6,800,658

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claim 2 listed: compounds 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20; claim 5 listed: compounds 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20;

claim 8 listed: compounds 1, 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20; and claim 12 listed: compounds 1, 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

The structural formulae of additional compounds were inadvertently added in the issued claims. The error was introduced by the Patent and Trademark Office. This Certificate of Correction seeks to amend the error. The changes do not constitute new matter. Patentee respectfully requests correction of the errors by issuance of a Certificate of Correction.

No fee is believed to be due. If, however, there are any charges or credits, please apply them to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 4/18/05

Dale L. Rieger Reg. No. 43,045

Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099

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♠ Fish & Richardson p.c.

SUBSTITUTE FORM PTO/SB/44 (5-03)

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United States Patent and Trademark Office CERTIFICATE OF CORRECTION

PATENT NO.

: 6,800,658

DATED

: OCTOBER 5, 2004

INVENTOR(S)

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR, EUGENE W. KELLEHER, ADEL M. MOUSSA, YESH P. SACHDEVA, MINGHUA SUN AND

HEATHER N. TAFT

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 38-41, please replace Claim 2 with the following Claim:

2. The compound of Claim 1, wherein said compound is selected from the group of Compounds 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

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San Diego, California 92130

Page 2 of 14

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INVENTOR(S)

San Diego, California 92130

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR, EUGENE W. KELLEHER, ADEL M. MOUSSA, YESH P. SACHDEVA, MINGHUA SUN AND

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 43-46, please replace Claim 5 with the following Claim:

5. The pharmaceutical composition of Claim 4, wherein said compound is selected from the group of Compounds 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

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San Diego, California 92130

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: 6,800,658

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: OCTOBER 5, 2004

INVENTOR(\$)

12390 El Camino Real San Diego, California 92130

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR,

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 48-51, please replace Claim 8 with the following Claim:

8. The method of Claim 7, wherein said compound is selected from the group of Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 52-56, please replace Claim 12 with the following Claim:

The method of Claim 11, wherein said compound is selected from the group of Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

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Page 13 of 14

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

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: 6,800,658

DATED

: OCTOBER 5, 2004

INVENTOR(S)

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR, EUGENE W. KELLEHER, ADEL M. MOUSSA, YESH P. SACHDEVA, MINGHUA SUN AND

HEATHER N. TAFT

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 38-41, please replace Claim 2 with the following Claim:

2. The compound of Claim 1, wherein said compound is selected from the group of Compounds 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

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PATENT NO.

: 6,800,658

DATED

: OCTOBER 5, 2004

INVENTOR(S)

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR,

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PATENT NO.

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DATED

: OCTOBER 6, 2004

INVENTOR(S)

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR,

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San Diego, California 92130

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INVENTOR(S)

San Diego, California 92130

: CARLO BRUGNARA, JOSE HALPERIN, RUDOLF FLUCKIGER, EMILE M. BELLOTT, JR., RICHARD JOHN LOMBARDY, JOHN J. CLIFFORD, YING-DUO GAO, REEM M. HAIDAR,

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HEATHER N. TAFT

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 43-46, please replace Claim 5 with the following Claim:

5. The pharmaceutical composition of Claim 4, wherein said compound is selected from the group of Compounds 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 and 20.

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Fish & Richardson P.C.		1
12390 El Camino Real		

The Fish & Richardson P.C.

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INVENTOR(S)

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HEATHER N. TAFT

It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(11)

MAILING ADDRESS OF SENDER:

PATENT NO._ 6,800,658

(12)

No. of add'I copies

Dale L. Rieger Fish & Richardson P.C. 12390 El Camino Real

(10)

San Diego, California 92130

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United States Patent and Trademark Office CERTIFICATE OF CORRECTION

PATENT NO.

: 6,800,658

DATED

: OCTOBER 5, 2004

INVENTOR(S)

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

(19)

and

(20)

Column 48-51, please replace Claim 8 with the following Claim:

8. The method of Claim 7, wherein said compound is selected from the group of Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

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It is certified that an error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Columns 52-56, please replace Claim 12 with the following Claim:

The method of Claim 11, wherein said compound is selected from the group of 12. Compounds 2, 3, 4, 6, 7, 8, 10, 11, 15, 16, 17, 19 and 20.

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